

(19) World Intellectual Property Organization  
International Bureau



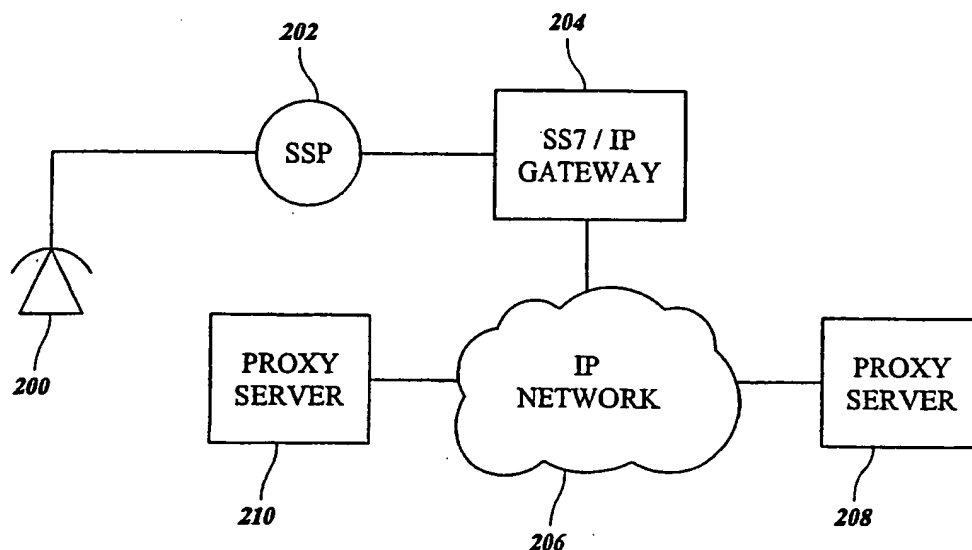
(43) International Publication Date  
23 August 2001 (23.08.2001)

PCT

(10) International Publication Number  
WO 01/61529 A2

- (51) International Patent Classification<sup>7</sup>: G06F 17/00 (72) Inventors: YOAKUM, John, H.; 1704 Kilamey Drive, Cary, NC 27511 (US). REAVES, James, E.; 8909 Wellsley Way, Raleigh, NC 27613 (US). ALLUISI, Paul, D.; 5812 Glenfiddich Way, Raleigh, NC 27613 (US).
- (21) International Application Number: PCT/IB01/00185
- (22) International Filing Date: 14 February 2001 (14.02.2001) (81) Designated States (national): AU, CA.
- (25) Filing Language: English (84) Designated States (regional): European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR).
- (26) Publication Language: English
- (30) Priority Data: 09/504,211 15 February 2000 (15.02.2000) US Published: — without international search report and to be republished upon receipt of that report
- (71) Applicant: NORTEL NETWORKS CORPORATION [CA/CA]; World Trade Center of Montreal, 380 St. Antoine Street West, Montreal, Quebec H2Y 3Y4 (CA). For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: METHODS AND SYSTEMS FOR IMPLEMENTING A REAL-TIME, DISTRIBUTED, HIERARCHICAL DATABASE USING A PROXIABLE PROTOCOL



(57) Abstract: A system for implementing a real-time distributed, hierarchical database uses a proxiable protocol. The system includes a first proxy server for receiving a first proxiable protocol message from a first network element. The first proxy server performs a first database lookup based on information contained in the first message. If the first proxy server does not obtain the requested information, the first proxy server formulates a second proxiable protocol message and forwards the message to a second proxy server. A second proxy server receives the second message and performs a second database lookup based on information contained in the second message. The second proxy server sends the results from the second database lookup to the first proxy server and the first proxy server forwards the results to the database user.

Best Available Copy



WO 01/61529 A2

Description

METHODS AND SYSTEMS FOR IMPLEMENTING A REAL-TIME,  
DISTRIBUTED, HIERARCHICAL DATABASE USING A PROXIABLE  
5 PROTOCOL

Technical Field

The present invention relates to methods and systems for  
implementing a real-time, distributed, hierarchical database. More  
10 particularly, the present invention relates to methods and systems for  
implementing a real-time, distributed, hierarchical database using a proxiable  
protocol.

Field of the Invention

15 Classical high performance telephony data structures, such as home  
location registers (HLRs), number portability databases, visitor location  
registers (VLRs), and other subscriber-services-related data structures  
require reliable, real-time access databases. Existing data structures rely on  
large centralized databases implemented in fault-tolerant computing  
20 platforms. Implementing large data structures results in costly, inflexible  
products, and network architectures.

Figure 1 illustrates a conventional centralized database architecture.  
In Figure 1, a subscriber might desire to make a call to another subscriber

**CONFIRMATION COPY**

whose number has been ported from one carrier to another carrier. When the subscriber dials the number using end user device 100, which can be a public switched telephone network (PSTN) terminal, the dialed digits are communicated to service switching point (SSP) 102. SSP 102 is a switch at 5 the calling subscriber's end office that sets up a call with a called party through the called party's end office. SSP 102 examines the dialed digits and determines that the number has been ported. Accordingly, SSP 102 formulates a transaction capabilities application part (TCAP) query and addresses the query to service control point (SCP) 104. The TCAP query 10 passes through signal transfer point (STP) 106, which routes the query to SCP 104. SCP 104 includes a centralized database containing contact numbers corresponding to ported numbers. SCP 104 performs a database lookup using the dialed digits and determines a contact number corresponding to the ported number. SCP 104 sends the contact number to 15 SSP 102 through STP 106 in a TCAP response. SSP 102 then sends a call setup message to the end office corresponding to the contact number in order to establish a call.

One problem with the centralized database architecture illustrated in Figure 1 is that the centralized database in SCP 104 is required to contain 20 entries for all ported numbers. Large database structures cannot be economically implemented by SCP 104. For example, a database can require 20 million records for number portability or other service. In order to provide a real-time response, e.g., 5 milliseconds or less, the entire database is required to be stored in dynamic random access memory (RAM) 25 of a central processing unit (CPU) engine. The amount of RAM required to

store 20 million database records greatly increases the cost of a centralized database. For example, 1 Gigabyte of RAM can be required to store 5 million subscriber database records. Current technology only allows 1 Gigabyte of RAM to be present on a single Versa Module Europa (VME) bus board. As a result, multiple VME bus boards with multiple processors are required to implement a database of 20 million customer records. Similar memory limitations exist in other board technologies such as Compact PCI. Such memory and processing requirements are cost-prohibitive for a single SCP database. What is needed is a real-time, distributed, hierarchical database in which database records are distributed across multiple physical machines located in different locations. Such a database preferably appears as a single database to the database user so that no modifications are required to existing telephony equipment, such as end office switches and gateways that access the databases. Accordingly, there exists a need for novel methods and systems for implementing a real-time, distributed, hierarchical database in a manner that is transparent to the database user.

#### Description of the Invention

The present invention provides novel methods and systems for implementing a real-time, distributed, hierarchical database using a proxiable protocol. As used herein, the phrase "proxiable protocol" refers to any protocol used to send call signaling messages over an IP network in which one entity can act as a proxy for another entity in performing a desired function. For example, if one entity is unable to respond to a request from a telephony device, that entity can proxy the request by sending a second

request to another entity that is capable of responding. The second request includes all of the information in the first request, but specifies that the response to the second request is to be sent to the first entity, rather than the original requester. When the first entity receives the response, the first  
5 entity responds to the requester as if the first entity had obtained the data. In this manner, the number of entities can be increased arbitrarily and transparently to the requester.

One example of a proxiabile protocol is the session initiation protocol (SIP), as described in Internet Engineering Task Force (IETF) Request for  
10 Comments (RFC) 2543: Session Initiation Protocol, March 1999, the disclosure of which is incorporated herein by reference in its entirety. SIP is an application layer control protocol that is conventionally used to establish, modify, and terminate multimedia sessions or calls. SIP provides proxiabile  
15 messages used to perform call setup, modification, and termination functions. For example, one SIP message used to perform call setup functions is the INVITE message. The INVITE message is conventionally used to invite telephony devices to participate in a media stream communication, such as a voice communication, a data communication, a video communication, or any combination thereof. The INVITE message  
20 includes a session description protocol (SDP) portion that is used by end user devices to exchange media capabilities and other information. One entity that formulates and processes INVITE messages, as well as other SIP messages, is referred to as a proxy server. As defined in the SIP protocol, a proxy server is an entity that is capable of acting as a proxy or agent for  
25 another entity. For example, a proxy server can receive a request, interpret

the request, and formulate a new request on behalf of the original requester. Thus, a SIP proxy server is capable of proxying INVITE messages for entities, such as SIP clients and other proxy servers functioning as SIP clients. Each proxy server in the chain of proxy servers includes its own via header in the INVITE message. The via headers specify a return path for the response that is the same as the request path. According to one aspect of the present invention, this proxying and return-path specifying capability of SIP is utilized in a novel way to implement a real-time, distributed, hierarchical database.

- 10           The present invention is not limited to SIP proxy servers. Any suitable proxy server that is capable of proxying requests for other entities and specifying a return path is intended to be within the scope of the invention.

Embodiments of a real-time, distributed, hierarchical database described below can be implemented in hardware, software, or a combination of hardware and software. Accordingly, it is understood that embodiments of the present invention can be implemented as computer-executable instructions embodied in a computer-readable medium for performing the steps described below for implementing a real-time, distributed, hierarchical database. Exemplary computer-readable media suitable for use with the present invention include magnetic and optical disk storage devices and electrical storage devices, such as chip memory devices.

- 20           Accordingly, it is an object of the present invention to provide novel methods and systems for implementing a real-time, distributed, hierarchical database using a proxiable protocol.
- 25

An object of the invention having been stated hereinabove and which is achieved in whole or in part by the present invention, other objects will be evident as the description proceeds, when taken in connection with the accompanying drawings as best described hereinbelow.

5

#### Brief Description of the Drawings

A description of the embodiments of the present invention will now proceed with reference to the accompanying drawings of which:

Figure 1 is a block diagram of a conventional telephone network  
10 utilizing a centralized database;

Figure 2 is block diagram of a system for implementing a real-time, distributed, hierarchical database according to an embodiment of the present invention;

Figure 3 is a call flow diagram illustrating the operation of a real-time,  
15 distributed, hierarchical number portability database according to an embodiment of the present invention;

Figure 4 illustrates an example of a number portability database that can be included in a proxy server according to an embodiment of the present invention;

20 Figure 5 illustrates an example of a system for implementing a real-time, distributed, hierarchical database according to yet another embodiment of the present invention; and

Figure 6 is a flow chart illustrating exemplary steps that can be performed by a proxy server in providing a default response to an INVITE  
25 message according to an embodiment of the present invention.

Detailed Description of the Invention

Figure 2 illustrates a system for implementing a real-time, distributed, hierarchical database using a proxiabile protocol according to an embodiment of the present invention. The entities illustrated in Figure 2  
5 utilize SIP messages to implement a real-time, distributed, hierarchical database. However, the present invention is not limited to using SIP messages. The use of any proxiabile protocol to implement a real-time, distributed, hierarchical database is intended to be within the scope of the invention.

10 In Figure 2, an end user device 200 communicates with other end user devices through service switching point (SSP) 202. End user device 200 can be any suitable end user telephony device, such as a stationary PSTN terminal or a mobile telephone handset. SSP 202 is an end office switch for setting up calls between end users.

15 SS7/IP gateway 204 connects SSP 202 to IP network 206. For example, SS7/IP gateway can include an SS7-compatible interface for communicating with SS7 nodes and an IP-compatible interface for communicating with IP nodes. One particular SS7 capability that gateway 204 preferably possesses is the ability to recognize TCAP queries and route  
20 the TCAP queries to a database for obtaining a TCAP response. SS7 TCAP queries can be recognized through analyzing the service information octet (SIO) field in an SS7 MSU. However, according to the present embodiment, rather than routing TCAP queries to a centralized SCP database, gateway 204 formulates a proxiabile protocol message and sends that message to  
25 proxy server 208 over IP network 206.



Proxy server 208 can be a SIP proxy server that implements the first level of a database hierarchy. For example, proxy server 208 can include a database that stores records containing the requested information for some subscribers and records containing pointers to other databases for other subscribers. The database accessed by proxy server 208 can also include default response information when a lookup fails to produce a record that matches data requested in a query.

In addition, proxy server 208 can receive messages from gateway 204 and perform a first database lookup based on information contained in the first message. As used herein, the phrase "database lookup" is intended to include a lookup in a database of records stored in computer memory or equivalent logical processing where desired output information is determined from input information. If proxy server 208 has the requested information, proxy server 208 can respond to the message from gateway 204 by sending a response containing the results of the lookup to gateway 204. However, proxy server 208 might not have the requested information in its local database. In this case, results from the first database lookup can include the location of a second database where the desired information is located. Proxy server 208 then proxies the first message, formulates a second proxiable protocol message, and sends the second message to another proxy server that contains the requested information or the address of another server that includes the requested information. For example, proxy server 208 can send the second message to proxy server 210 that has access to a subscriber database (not shown) containing the requested subscriber information. In a preferred embodiment, the second message

includes return route information so that proxy server 210 will return a response to proxy server 208. If proxy server 210 has the desired information, proxy server 210 responds to proxy server 208 with the desired information. Proxy server 208 sends the information to gateway 204.

- 5 Gateway 204 extracts the information and communicates the information to SSP 202.

Because proxy servers according to the present embodiment are capable of proxying requests from other entities, including other proxy servers, a real-time, distributed, hierarchical database can be implemented transparently to gateway 204. In addition, the number of levels in the database can be arbitrarily increased, simply by adding additional proxy servers. The following example illustrates the use of the distributed hierarchy illustrated in Figure 2 in responding to a number portability query from SSP 202.

15 Number Portability Example

Figure 3 is a call flow diagram illustrating an exemplary message flow between an SS7/IP gateway and proxy servers implementing a real-time, distributed number portability database according to an embodiment of the present invention. In line 1 of the call flow diagram, SSP 202 transmits a TCAP query to SS7/IP gateway 204 requesting information relating to a ported number. The method for formulating a TCAP query is known to persons of ordinary skill in the telecommunications art and is not essential to explaining the present invention. Hence a detailed description of the TCAP protocol is not explained herein. What is important for purposes of

explaining the present embodiment is that the TCAP query requests information regarding a ported number.

In response to receiving the TCAP query, rather than forwarding the query to a SCP, SS7/IP gateway 204 formulates an INVITE message based on information continued in the TCAP query. In line 2 of the call flow diagram, SS7/IP gateway 204 transmits an INVITE message to proxy server 208. An example of such an INVITE message is as follows:

```
1    INVITE
2    sip:+46706662326@47.114.177.55:50002;user=phoneSIP/.0
10   3    v: SIP/2.0/UDP 47.114.178.11:50001
4    4    t tel:+46706662326
5    5    f sip:+705990096@47.114.178.11:50001
6    6    i: a700ff@47.114.178.11:50001
15   7    CSeq: 1 INVITE
```

In the INVITE message example, line numbers are included on the left hand side of each line in the message to facilitate a description of the fields in the message. It is understood that these line numbers are included for illustration purposes only and are not part of an actual SIP message. It is also understood that fields other than those illustrated can be included in the SIP message without departing from the scope of the invention. In line 1, "INVITE" is a method token that identifies the message as an INVITE message. In line 2, the number "4670662326" is the called party number for which a contact number is sought. Also in line 2, the number "47.114.177.55:50002" is the IP address and port number of proxy sever 208 to which the "INVITE" message is being sent. Line 3 of the SIP message is the via header. The via header identifies the return path for responding to the SIP message. In the example SIP message illustrated above, the return path is identified by the IP address and port number of signaling gateway

204 for receiving SIP messages. In the illustrated example, the IP address is 47.114.178.11 and the port number is 50001. In an alternative embodiment, the via header can contain an asynchronous transfer mode (ATM) address as the return routing information. Line 4 of the SIP message contains the "to" field, which includes the called number. Line 5 of the SIP message is the "from" field which contains the calling party number as well as the IP address and port number of signaling gateway 204 from which the SIP message originated. Line 6 of the SIP message contains a call identifier, which is used by SIP devices which is used to identify messages associated with a call. Line 7 of the SIP message contains a call sequence number which is used to identify the sequence of the message within a call.

In response to receiving the INVITE message, proxy server 208 searches at its database and determines that it does not have the data being requested. Accordingly, in line 3 of the call flow diagram, proxy server 208 formulates and sends a TRYING message to the originator of the query, i.e. to SS7/IP gateway 204. An example of such a TRYING message is as follows:

```
20      1    SIP/2.0 100 Trying
        2    v: SIP/2.0/UDP 47.114.178.11:50001
        3    t: tel:+46706662326
        4    f: sip:+705990096@47.114.178.11:50001
        5    i: a700ff@47.114.178.11:50001
        6    CSeq: 1 INVITE
```

25 Line 1 of the SIP message identifies the message as a TRYING message. Line 1 also contains the SIP version number. Line 2 contains the via header for the TRYING message. In the example SIP message, the via header includes the IP address and port number of proxy server 208. Line 3

of the SIP message is the "to" field, which contains the called number. Line 4 of the SIP message as the "from" field which contains the calling party number as well as the IP address and port number on signaling gateway 204 for receiving SIP messages. Line 5 of the SIP message is the call identifier, and line 6 of the SIP message is the call sequence number, as previously described.

In line 4 of the call flow diagram, proxy server 208 forwards (proxies) the INVITE message, after adding in its own via header, to proxy server 210. An example of the INVITE message that can be sent from proxy server 208 to proxy server 210 is as follows:

```
1  INVITE
2  sip:+46706662326@47.114.177.55:50002;user=phoneSIP/2.0
3  v: SIP/2.0/UDP 47.114.177.55:50002
4  v: SIP/2.0/UDP 47.114.178.11:50001
5  t: tel:+46706662326
6  f: sip:+705990096@47.114.178.11:50001
7  i: a700ff@47.114.178.11:50001
8  CSeq: 1 INVITE
```

In the example INVITE message, line 1 is a header that identifies the message as being an INVITE message. The INVITE message sent from proxy server 208 to proxy server 210 is the same as the INVITE message sent from signaling gateway 204 to proxy server 208 except that proxy server 208 adds its own via header to the message. This via header is illustrated in line 3 of the example message. In line 3, the via header includes the IP address and port number of proxy server 208. This via header instructs proxy server 210 to send responses to proxy server 208.

In response to receiving the INVITE message from proxy server 208, proxy server 210 performs a database lookup in its database. If the

requested data is not present, proxy server 210 can add its own via header to the INVITE message and send the INVITE message to another proxy server, such as proxy server n, illustrated in Figure 3. Each proxy server adds its own via header to the INVITE message before forwarding the message to the next proxy server. This ensures that the response to the INVITE message travels through each proxy server that handled a request. When the original proxy server receives the response, that proxy server forwards the response to SS7/IP gateway 204. Thus, the number of proxy servers can be made arbitrarily large and the number is transparent to SS7/IP gateway 204.

In this example, it is assumed that proxy server 210 has the data requested by the original TCAP query, i.e., the routing number corresponding to the ported number. Thus, in line 5 of the call flow diagram, proxy server 210 sends a MOVED message to proxy server 208 (which is the first "via" header from the INVITE message in line 4 of the call flow diagram). An example of such a MOVED message is as follows:

```
1    SIP/2.0 302 Moved Temporarily
2    v: SIP/2.0/UDP 47.114.177.55:50002
20   3    v: SIP/2.0/UDP 47.114.178.11:50001
4    t: tel:+46706662326
5    f: sip:+705990096@47.114.178.11:50001
6    m: tel+46701234567
7    i: a700ff@47.114.178.11:50001
25   8    CSeq: 1 INVITE
```

Line 2 of the SIP message includes the via header of proxy server 208. This header contains the port number and IP address of proxy server 208 to which the MOVED message is forwarded. The contact field in line 6 of the SIP message contains the contact number obtained from the

database lookup. The remaining fields in the SIP message are similar to those described with the other SIP messages described above.

In line 6 of the call flow diagram, proxy server 208 acknowledges receipt of the MOVED message. An example of such an acknowledgment is

5 as follows:

```
1 ACKsip:+46706662326@47.114.177.55:50002;user=phone SIP/2.0
2 v: SIP/2.0/UDP 47.114.177.55:50002
3 v: SIP/2.0/UDP 47.114.178.11:50001
4 t: tel:+46706662326
10 5 f: sip:+705990096@47.114.178.11:50001
6 m: tel+46701234567
7 i: a700ff@47.114.178.11:50001
8 CSeq: 1 ACK
```

15 The fields in this SIP message are the same as those described with respect to the MOVED message above. Hence a description thereof is not repeated herein.

In line 7 of the call flow diagram, proxy server 208 removes its own "via" header and forwards the MOVED message to gateway 204. An

20 example of such a MOVED message is as follows:

```
1 SIP/2.0 302 Moved Temporarily
2 v: SIP/2.0/UDP 47.114.178.11:50001
3 t: tel:+46706662326
4 f: sip:+705990096@47.114.178.11:50001
25 5 m: tel+46701234567
6 i: a700ff@47.114.178.11:50001
7 CSeq: 1 INVITE
```

The only via header remaining in the example MOVED  
30 TEMPORARILY message is the via header of signaling gateway 204. The via header of signaling gateway 204 in line 2 of the MOVED message described above has been removed. The remaining fields in the example

MOVED message are the same as those described above. Hence a description thereof is not repeated herein.

In line 8 of the call flow diagram, SS7/IP gateway 204 acknowledges receipt of the MOVED message. An example of such an acknowledgment is

5 as follows:

```
1 ACKsip:+46706662326@47.114.177.55:50002;user=phone SIP/2.0
2 v: SIP/2.0/UDP 47.114.178.11:50001
3 t: tel:+46706662326
4 f: sip:+705990096@47.114.178.11:50001
10 5 m: tel+46701234567
6 i: a700ff@47.114.178.11:50001
7 CSeq: 1 ACK
```

In the example ACKNOWLEDGE message, signaling gateway 204 includes its own via header to indicate that responses to the ACKNOWLEDGE message are to be sent to signaling gateway 204. This via header is illustrated in line 2 of the ACKNOWLEDGE message. The remaining fields are the same as those described above with respect to the MOVED TEMPORARILY message. Hence a description thereof is not repeated herein.

In line 9 of the call flow diagram, SS7/IP gateway 204 extracts the contact number from the MOVED message and communicates the number to SSP 202 in a TCAP response message. Because SS7/IP gateway receives the contact number in the MOVED message from proxy server 206, the number of levels in the database hierarchy is transparent to SS7/IP gateway 204.

Figure 4 illustrates an example of a number portability database that can be accessed by a proxy server according to an embodiment of the present invention. In Figure 4, database generally designated 400 includes



a plurality of entries for records 400A – 400N. Each entry includes a called number field 402 for storing a called number, a contact number field 404 for storing a contact number corresponding to the called number, a routing prefix field 406 for storing a routing prefix indicating a network associated with the ported number, a default contact number field 408 for storing default contact numbers and an action code field 410 for indicating whether to proxy or respond to a request. In entry 400A, the called number is 46705990060. The contact number field 404 also contains the number 46705990060. Because the called number and the contact number are the same, it is evident that the called number has not been ported. Default contact number field 408 in entry 400A also contains the same called number. Action code field 410 in entry 400A includes an action code of "1" which indicates that the proxy server can respond to the request.

In entry 400B, the number stored in called number field 402 is different from the contact number field 404. Hence, from this entry it is evident that the called number has been ported. Action code field 410 specifies a "1" which indicates that proxy server 208 can respond to queries containing the called number. In entry 400C, there is no contact number stored in contact number field 404. In addition, the value in action code field 410 is set to "2" indicating that proxy server 208 should proxy any queries containing the called number 46706662326. In the event that proxy server 208 does not receive a response within a predetermined time period, proxy server 208 can respond to the request with the default number 46706662326 specified in default contact number field 408. Examples where it can be

desirable to provide a default contact number will be discussed in more detail below.

#### Generic Distributed Database

Although the example above illustrates the implementation of a real-time, distributed number portability database, the present invention is not limited to such an embodiment. For example, the methods and systems for implementing a real-time, distributed, hierarchical database can be suitably used to implement any type of database that requires high-speed access to a large number of records. Examples of types of databases that the present invention can be used to implement include home location registers, visitor location registers, etc.

Figure 5 illustrates an arbitrary database structure that can be implemented by proxy servers according to an embodiment of the present invention. In the illustrated embodiment, master proxy server 500 receives requests for information from a database. In the illustrated embodiment, master proxy server 500 contains a database generally designated 502 in which each record is indexed by a letter of the alphabet. In the illustrated example, database 502 includes records 502A – 502Z. Each record 502A – 502Z includes a key field 504 containing a letter of the alphabet. Each record 502a – 502z also includes a data field 506 containing the address of a proxy server to which the second level of the database hierarchy is implemented. For example, record 502A includes the letter "A" in key field 504. Data field 506 includes the address of A proxy server 508A. The remaining fields in database 502 contain letters B – Z in key fields 504 and the addresses of the appropriate proxy server in data fields 506,

respectively. Proxy servers 508A – 508Z each include a database 510A – 510Z, respectively. The databases 510A – 510Z provide the second level of data for the given database hierarchy.

In operation, when proxy server 500 receives an INVITE message,  
5 the message can request a database record corresponding to the text string "C1234". Proxy server 500 accesses database 502 and locates record 502C based on the first letter in the text string. Record 502C includes the address of C proxy server 508C. Accordingly, proxy server 500 formulates an INVITE message and transmits the INVITE message to C proxy server  
10 508C. The INVITE message can include the same text string as the first INVITE message. The INVITE message preferably also includes response routing information indicating that responses should be sent to proxy server 500. When C proxy server 508C receives the INVITE message, C proxy server performs a lookup in C database 510C using the digits "1234". If C  
15 proxy server 508C locates a record in C database 510C containing the desired information, C proxy server 508C preferably sends a response to proxy server 500 containing the desired information. Proxy server 500 can then send the information to the requesting entity in the appropriate protocol.

If C database 510C does not contain the data for responding to the  
20 request, C proxy server 508C can proxy the request from proxy server 500 and query another database at another hierarchical level (not shown). The request preferably includes response routing information that indicates that responses should be sent to C proxy server 508C. Thus, as illustrated in Figure 5, the methods and systems for implementing a real-time, distributed,

hierarchical database according to embodiments of the present invention can be extended to arbitrarily complex data structures.

#### Response Timer

5           As stated above, embodiments of the present invention are preferably capable of implementing a real-time, distributed, hierarchical database in which the number of levels in the hierarchy is transparent to the database user. One aspect of transparency is that the database user receives a response from the entity from which data was requested. This aspect is  
10   enabled by the proxying capabilities of the underlying protocol. Another aspect of transparency is timing. For example, if the first proxy server is unable to obtain a response from additional proxy servers in the hierarchical chain, the first proxy server preferably sends a default response to the database user that is within a time period specified by the database user.  
15   For example, the TCAP protocol provides a maximum response time for TCAP messages. Accordingly, when master proxy server 500 illustrated in Figure 5 receives an INVITE message based on a TCAP query, master proxy server 500 preferably starts a timer and takes appropriate action to ensure that a response is sent to the message within the time period.  
20           Figure 6 is a flow chart illustrating exemplary steps that can be performed by master proxy server 500 in implementing a response timer according to an embodiment of the present invention. In step ST1, master proxy server 500 receives an INVITE message from a database user. For example, the database user can be an SS7/IP gateway, as illustrated and  
25   described with respect to Figure 2. In step ST2A, in response to receiving

the message, master proxy server 500 starts a response timer. The response timer can be set to a value that is less than the permissible time period for responding to the request received in step ST1. For example, the response timer can be set to 50% of the maximum time period for responding to the message. In step ST3A, master proxy server 500 reads the timer. In step ST4A, proxy server 500 determines whether the timer has expired. If the timer has not expired, proxy server 500 can continue to check whether the time has expired by repeating steps ST3A and ST4A.

Simultaneously with starting the response timer, in step ST2B, proxy server 500 can attempt to obtain the requested data. Attempting to obtain the requested data can include accessing a local database and/or sending a message to another proxy server. In step ST3B, proxy server 500 determines whether the requested data has been obtained. If the requested data has been obtained in step ST4C, proxy server 500 sends the requested data to the database user. In step ST3B, if the requested data has not been obtained, proxy server 500 continues to attempt to obtain the requested data. Steps ST2B and ST3B can be repeated until the timer expires.

Referring again to step ST4A, if proxy server 500 determines that the time has expired, proxy server 500 preferably sends default data to the database user (step ST5A). The default data can include all of the data obtained up until the timer expired to the database user. For example, proxy server 500 can perform a lookup in its local database, and then request information from another proxy server in the hierarchy. If the timer expires before a reply is received to the request, proxy server 500 preferably sends the results of the first database lookup to the database user. The results

from the local database lookup can be a default response for the query or an indication of failure. Thus, proxy server 500 provides real-time responses to database users, even when one or more of the proxy servers that implement the distributed database failed.

- 5           One example of when it can be desirable to provide a default response relates to number portability. For example, an end user might desire to have calls to his or her home number forwarded to a mobile phone. In this case, the user's mobile phone number can be stored in the contact field of a number portability database, for example, as illustrated in Figure 4.
- 10          The contact information can be modified by an end user via a web browser. Thus, a first level number portability database for the end user might contain the user's home number in the default contact number field, but indicate that a request should be proxied. A second level number portability database can contain the user's mobile phone number in the contact number field, as
- 15          dynamically configured by the end user. When a query arrives at the first level database, the first level database proxies the query to the second level database. If the proxy server managing the first database does not receive a response before the timer expires, the proxy server can supply the user's home number as a default response.
- 20          It will be understood that various details of the invention can be changed without departing from the scope of the invention. Furthermore, the foregoing description is for the purpose of illustration only, and not for the purpose of limitation—the invention being defined by the claims.

CLAIMS

What is claimed is:

1. A system for implementing a real-time, distributed, hierarchical database using a proxiabile protocol, the system comprising:
  - 5 (a) a first proxy server for receiving, from a first network element, a first proxiabile protocol message including first response routing information for routing a response to the first message to the first network element, performing a first database lookup based on information contained in the first message, formulating a  
10 second proxiabile protocol message including second response routing information for routing a response to second message to the first proxy server based on results from the first database lookup, and sending the second message over a network; and
  - (b) a second proxy server for receiving the second message, and,  
15 in response, performing a second database lookup based on information contained in the second message, formulating a third proxiabile protocol message including the first and second response routing information based on results from the second database lookup, and sending the third message to the first  
20 proxy server based on the second response routing information.
2. The system of claim 1 wherein the first proxy server receives the third message, and, in response, transmits a fourth proxiabile protocol

message to the first network element based on the first response routing information.

3. The system of claim 2 wherein the first, second, third, and fourth  
5 messages are session initiation protocol (SIP) messages.

4. The system of claim 3 wherein the first and second messages are SIP  
INVITE messages and the third and fourth messages are SIP  
MOVED messages.

10

5. The system of claim 3 wherein the first response routing information  
includes a first via header including an address of the first network  
element and the second response routing information includes a  
second via header including an address of the first proxy server.

15

6. The system of claim 1 wherein the first proxy and second proxy  
servers are session initiation protocol (SIP) proxy servers.

7. The system of claim 1 wherein the first proxy server includes a timer,  
and, in response to failing to receive the third message from the  
20 second proxy server before the timer expires, the first proxy server  
formulates a fourth proxiable protocol message and forwards the  
fourth message to the first network element.



8. The system of claim 7 wherein the fourth message includes the results from the first database lookup.
9. The system of claim 1 wherein the first message contains a called party number, the first proxy server is adapted to perform the first database lookup using the called party number as a key to determine location information for the second proxy server, and the second proxy server is adapted to perform the second database lookup using the called party number to determine a contact number corresponding to the called party number.
10. A method for using a proxiabile protocol to implement a real-time, distributed, hierarchical database, the method comprising:  
at a first proxy server,
- (a) receiving, from a first network element, a first proxiabile protocol message including first response routing information for routing responses to the first message to the first network element;
- (b) performing a first database lookup based on information contained in the first message;
- (c) formulating a second proxiabile protocol message based on results from the first database lookup;
- (d) adding second response routing information to the second message for routing responses to the second message to the first proxy server;

- (e) sending the second message to a second proxy server;  
at the second proxy server;
- (f) receiving the second message, and, in response, performing a  
second database lookup based on information contained in the  
5 second message;
- (g) formulating a third proxiable protocol message based on  
results from the second database lookup, the third message  
including the first and second response routing information;  
and
- 10 (h) transmitting the third message to the first proxy server based  
on the second response information.
11. The method of claim 10, comprising, at the first proxy server,  
receiving the third message, and, in response, transmitting, to the first  
15 network element, a fourth proxiable protocol message based on the  
first response routing information.
12. The method of claim 11 wherein formulating the first, second, third,  
and fourth messages includes formulating session initiation protocol  
20 (SIP) messages.
13. The method of claim 12 wherein formulating the first and second  
messages includes formulating SIP INVITE messages and  
formulating the third and fourth messages included formulating SIP  
25 MOVED messages.

14. The method of claim 12 wherein the first response routing information includes a first via header having an address for the first network element and the second response routing information includes a  
5 second via header having an address for the first proxy server.
15. The method of claim 10 wherein receiving the first message at a first proxy server includes receiving the first message at a session initiation protocol (SIP) proxy server.  
10
16. The method of claim 10 wherein receiving the second message includes receiving the second message at a session initiation protocol (SIP) proxy server.
- 15 17. The method of claim 10 comprising, at the first proxy server, in response to sending the second message, starting a timer, and, in response to failing to receive the third message from the second proxy server before the timer expires, formulating a fourth proxiable protocol message and forwarding the fourth message to the first  
20 network element.
18. The method of claim 17 wherein formulating the fourth message includes placing the results from the first database lookup in the fourth message.  
25

19. The method of claim 10 wherein the first message contains a called party number, performing the first database lookup includes performing the first database lookup using the called party number to determine location information for the second proxy server, and  
5 performing the second database lookup includes performing the second database lookup using the called party number.
20. The method of claim 19 wherein the called party number is a ported number and the results from the second database lookup include a  
10 contact number corresponding to the ported number.
21. A method for implementing a real-time, distributed, hierarchical database, the method comprising:
- 15 (a) receiving a first proxiable protocol message from a database user;
- (b) starting a timer;
- (c) attempting to obtain information requested by the first message; and
- 20 (d) in response to determining that the timer has expired, sending a response to the database user, the response containing information obtained prior to expiration of the timer.
22. The method of claim 21 wherein attempting to obtain the information requested by the first message includes performing a lookup in a first  
25 database accessible by the first proxy server and obtaining a first

portion of the information requested, formulating a second proxiable protocol message, and sending the second message to a second proxy server to obtain a second portion of the information requested.

- 5    23.    The method of claim 21 wherein, when the timer expires after obtaining the first portion of the information and prior to obtaining the second portion requested, sending a reply containing the first portion of the information requested to the database user.
- 10   24.    The method of claim 22 wherein formulating the second message includes formulating a session initiation protocol (SIP) message.
25.    The method of claim 24 wherein formulating a SIP message includes formulating a SIP INVITE message.
- 15
26.    The method of claim 22 wherein receiving the first message includes receiving a first message including first response routing information specifying a return path for responses to the first message and formulating the second message includes including second response
- 20    routing information specifying a return path for responses to the second message.
27.    The method of claim 26 wherein the first response routing information includes an address for a sender of the first message and the second

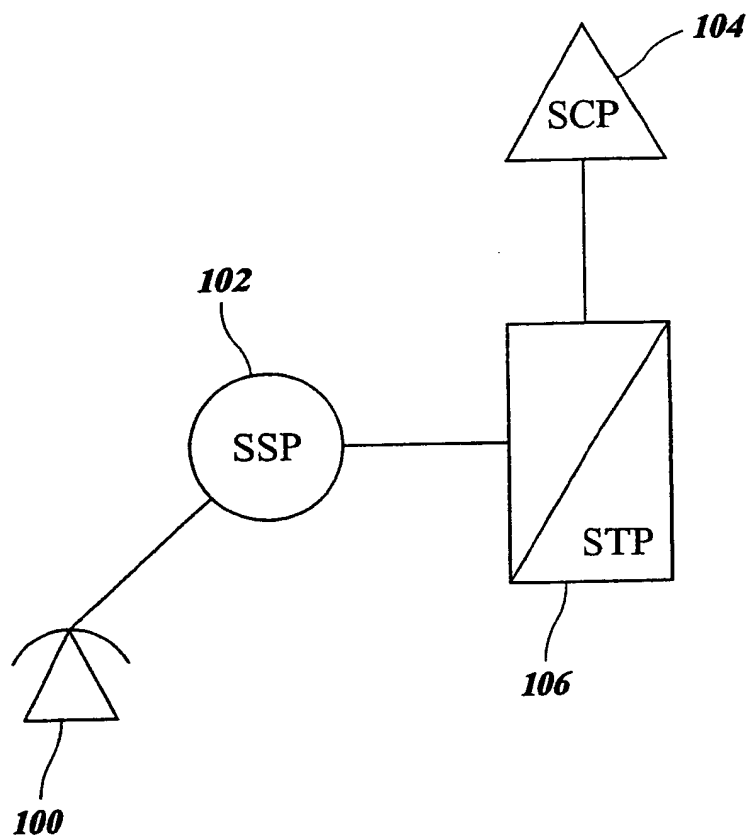
response routing information includes an address for the first proxy server.

28. A computer program product comprising computer-executable  
5 instructions embodied in a computer-executable medium for performing steps comprising:
- (a) at a first proxy server, receiving, from a first network element, a first proxiable protocol message including first response routing information for routing responses to the first message to the  
10 first network element;
  - (b) performing a first database lookup based on information contained in the first message;
  - (c) formulating a second proxiable protocol message based on results from the first database lookup;
  - 15 (d) adding second response routing information to the second message for routing responses to the second message to the first proxy server;
  - (e) receiving a response to the second message, the response including the first and second response routing information;  
20 and
  - (f) forwarding the response to the first network element based on the first response routing information.
29. The computer program product of claim 28 wherein receiving the first  
25 message includes receiving a first session initiation protocol (SIP)

message and formulating the second message includes formulating a second SIP message.

30. The computer program product of claim 29 wherein the first response  
5 routing information includes an address for the first network element  
and the second response routing information includes an IP address  
for the first proxy server.

1 / 6



**FIG. 1**  
(PRIOR ART)



2 / 6

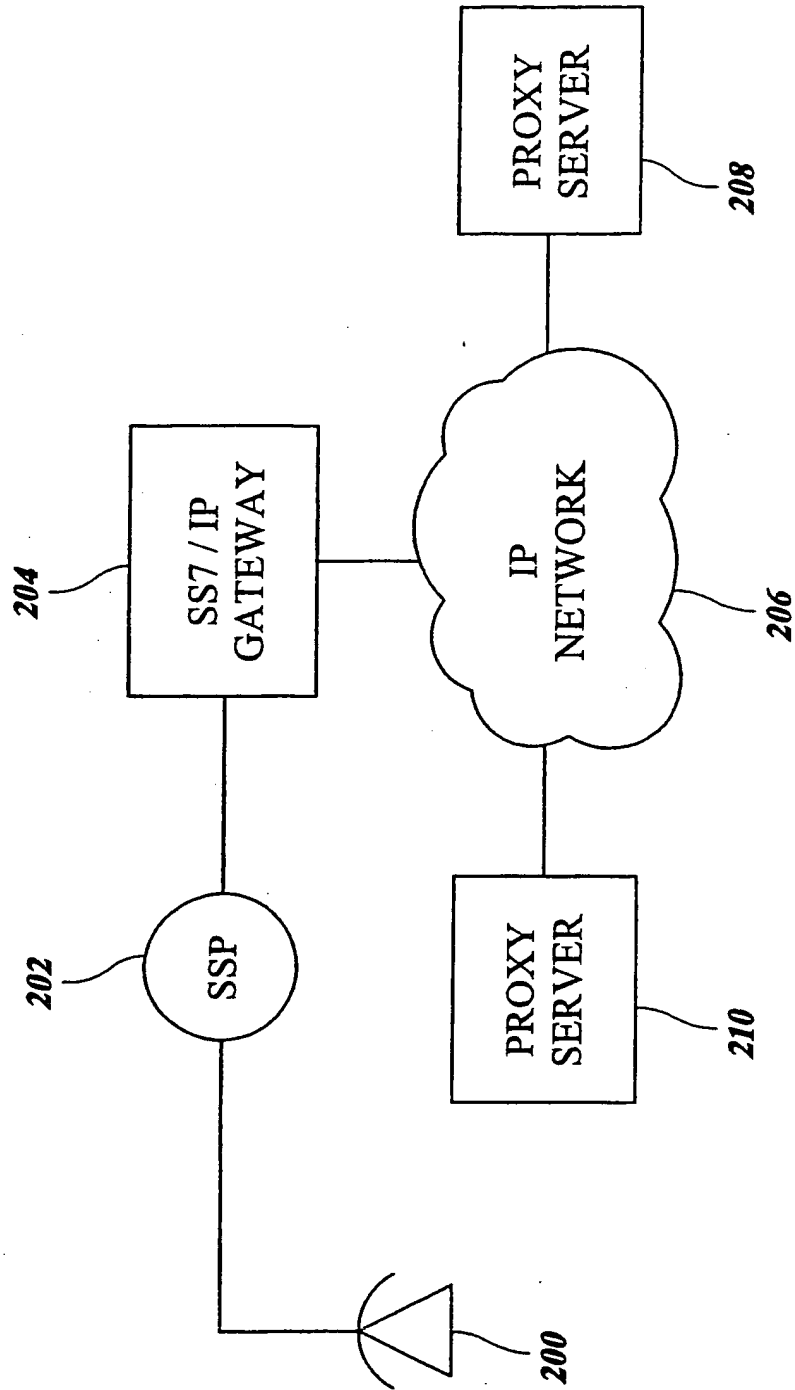


FIG. 2

3 / 6

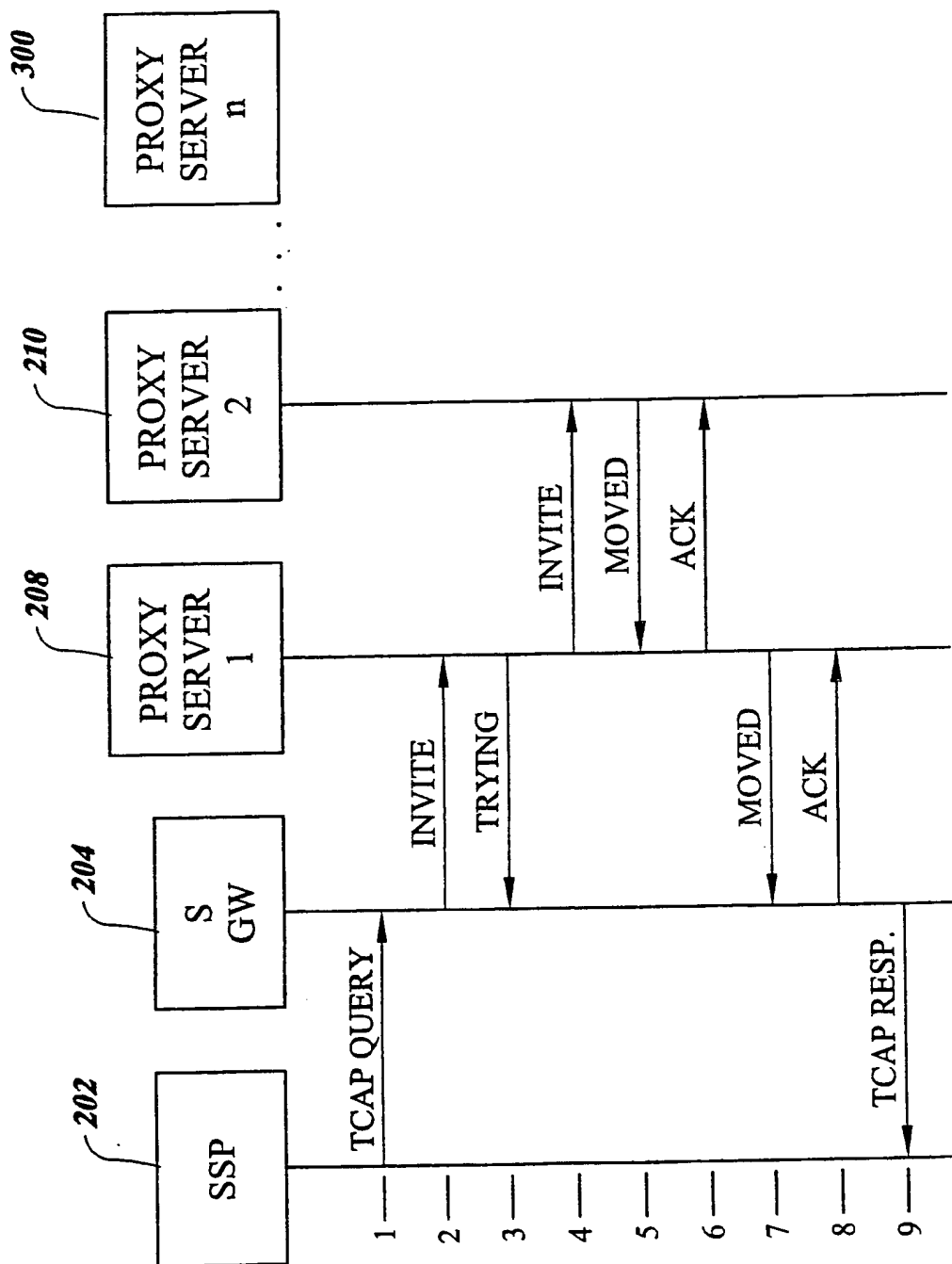


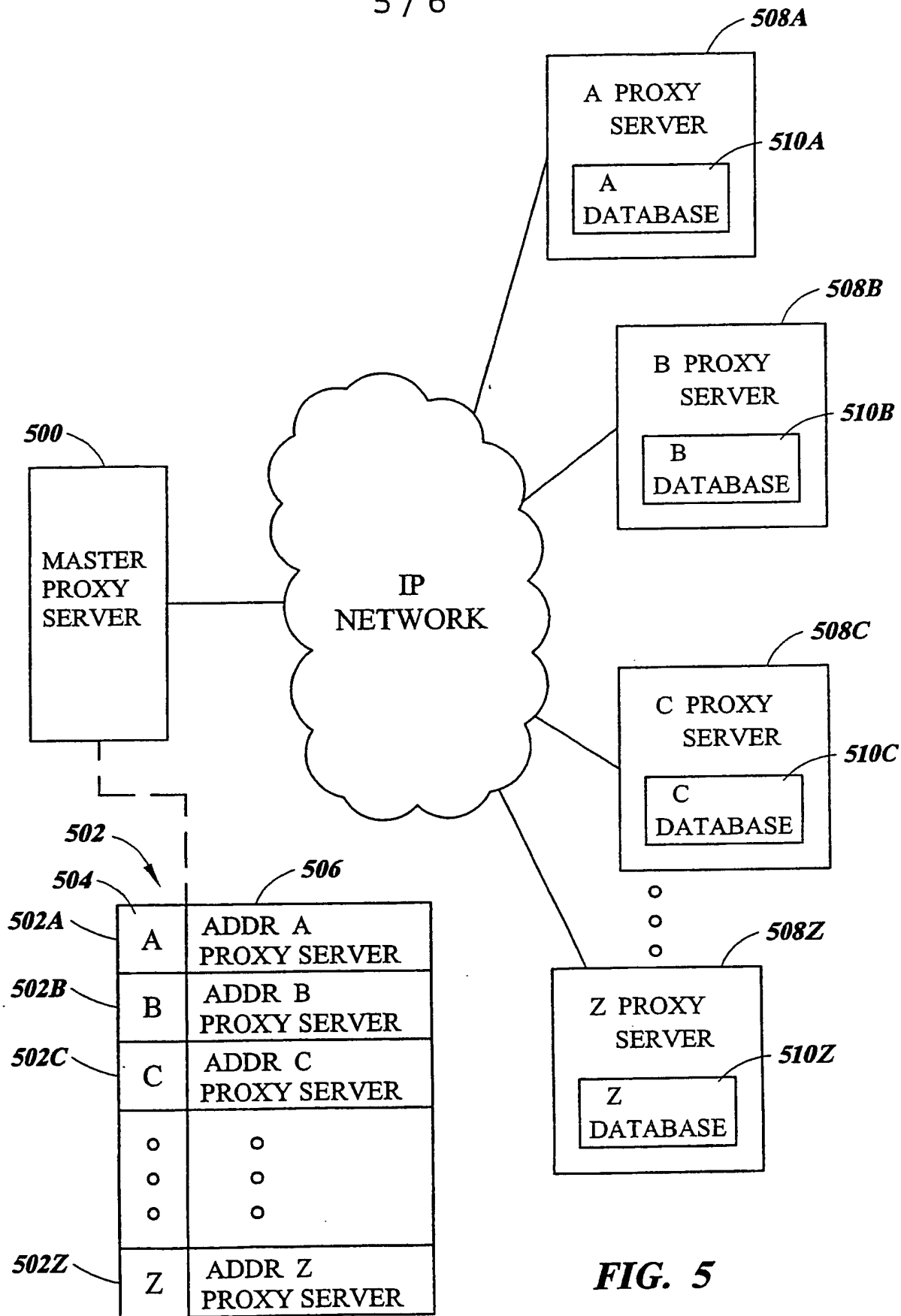
FIG. 3

4 / 6

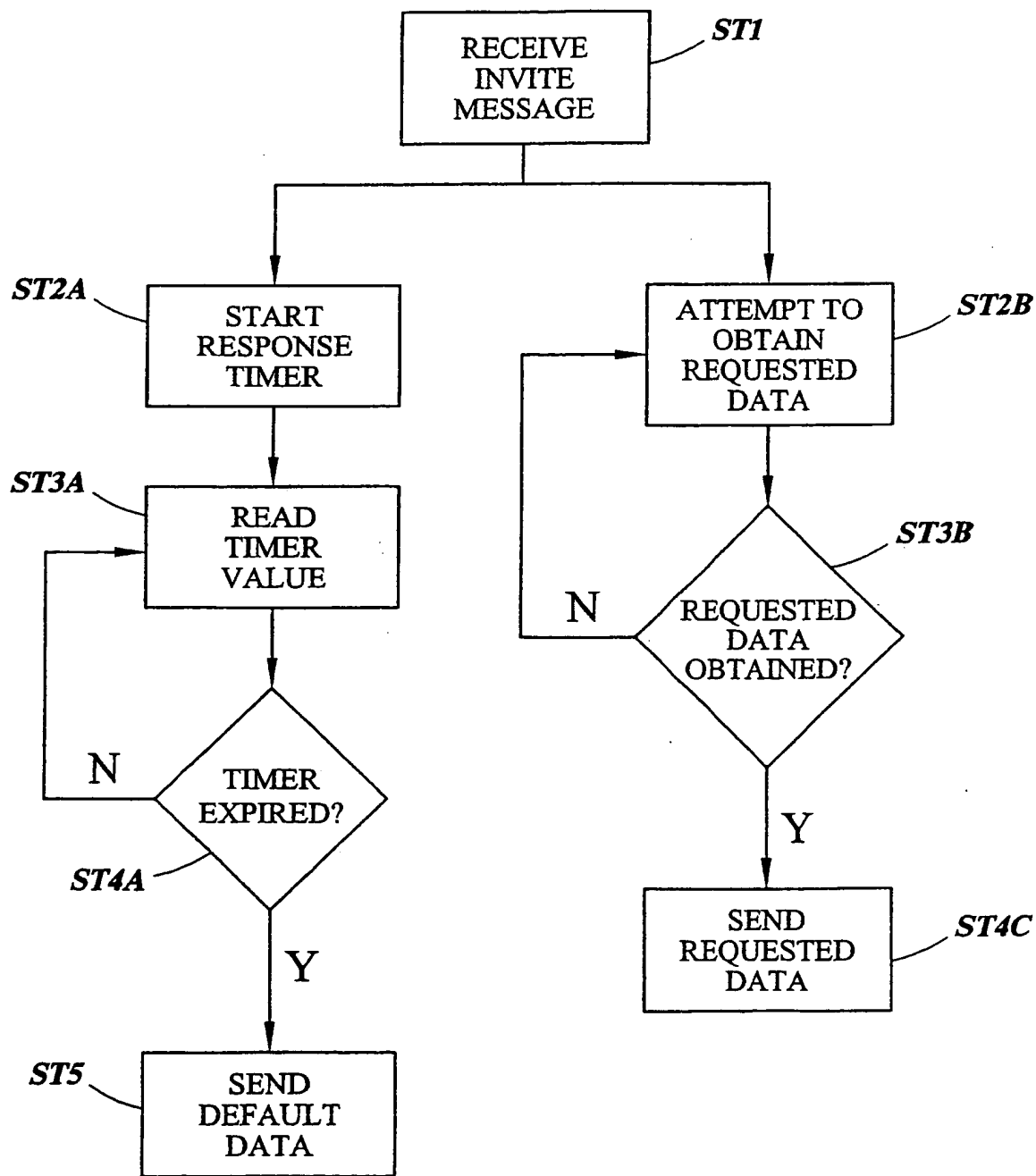
CALLED NUMBER	CONTACT NUMBER	ROUTING PREFIX	DEFAULT CONTACT NUMBER	ACTION CODE
46705990060	46705990060	001	46705990060	1
46705990061	91919338000	001	91949338000	1
46706662326	-----	-----	46706662326	2
○				
○				
○				
○				

FIG. 4

5 / 6

**FIG. 5**

6 / 6

**FIG. 6**

THIS PAGE BLANK (USPTO)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
23 August 2001 (23.08.2001)

PCT

(10) International Publication Number  
WO 01/61529 A3

(51) International Patent Classification<sup>7</sup>: H04Q 3/00,  
G06F 17/30, H04L 29/06

Way, Raleigh, NC 27613 (US). ALLUISI, Paul, D.: 5812  
Glenfiddich Way, Raleigh, NC 27613 (US).

(21) International Application Number: PCT/IB01/00185

(81) Designated States (*national*): AU, CA.

(22) International Filing Date: 14 February 2001 (14.02.2001)

(84) Designated States (*regional*): European patent (AT, BE,  
CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC,  
NL, PT, SE, TR).

(25) Filing Language: English

(26) Publication Language: English

Published:

(30) Priority Data:  
09/504,211 15 February 2000 (15.02.2000) US

— with international search report

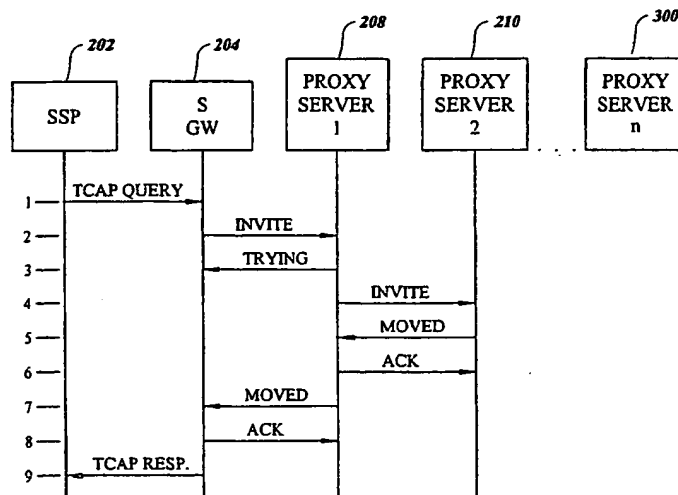
(71) Applicant: NORTEL NETWORKS CORPORATION  
[CA/CA]: World Trade Center of Montreal, 380 St. An-  
toine Street West, Montreal, Quebec H2Y 3Y4 (CA).

(88) Date of publication of the international search report:  
17 January 2002

(72) Inventors: YOAKUM, John, H.: 1704 Kilamey Drive,  
Cary, NC 27511 (US). REAVES, James, E.: 8909 Wellsley

For two-letter codes and other abbreviations, refer to the "Guid-  
ance Notes on Codes and Abbreviations" appearing at the begin-  
ning of each regular issue of the PCT Gazette.

(54) Title: METHODS AND SYSTEMS FOR IMPLEMENTING A REAL-TIME, DISTRIBUTED, HIERARCHICAL DATA  
BASE



(57) Abstract: A system for implementing a real-time distributed, hierarchical database uses a proxiable protocol. The system includes a first proxy server (208) for receiving a first proxiable protocol message from a first network element (202). The first proxy server performs a first database lookup based on information contained in the first message. If the first proxy server does not obtain the requested information, the first proxy server formulates a second proxiable protocol message and forwards the message to a second proxy server (210). A second proxy server receives the second message and performs a second database lookup based on information contained in the second message. The second proxy server sends the results from the second database lookup to the first proxy server and the first proxy server forwards the results to the first network element.



WO 01/61529 A3

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 H04Q3/00 G06F17/30 H04L29/06

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 H04Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 97 22211 A (PENKLER DAVID ; BOUTHORS NICOLAS (FR); LOW COLIN (GB); HEWLETT PACK) 19 June 1997 (1997-06-19)	1,2, 7-11, 17-23, 26-28
Y	page 26, line 24 -page 27, line 3 page 8, line 8 -page 9, line 19 page 10, line 23 - line 27 page 23, line 5 - line 31; figure 6	3-6, 12-16, 24,25, 29,30
	---	-/--

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

\* Special categories of cited documents:

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*G\* document member of the same patent family

Date of the actual completion of the international search

3 September 2001

Date of mailing of the international search report

25/09/2001

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040. Tx. 31 651 epo nl.  
Fax: (+31-70) 340-3016

Authorized officer

Chêne, X



## INTERNATIONAL SEARCH REPORT

International Application No.

PCT/IB 01/00185

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	HANDLEY M ET AL: "RFC 2543 SIP: Session Initiation Protocol" IETF RFC, 'Online! March 1999 (1999-03), XP002173547 Retrieved from the Internet: <URL:http://www.ietf.org/rfc/rfc2543.txt> 'retrieved on 2001-08-30! cited in the application page 6, paragraph 1.1 -page 7 -----	3-6, 12-16, 24,25, 29,30
A	US 5 625 681 A (BUTLER II GEORGE D) 29 April 1997 (1997-04-29) column 3, line 37 -column 4, line 59 -----	1-30
A	ANDREW TANENBAUM: "Réseaux" 1997, PRENTICE HALL LONDON; INTERÉDITIONS PARIS, PARIS XP002176333 ISBN: 2-7296-0643-2 page 637, paragraph 7.2.3 -page 639; figure 7.29 -----	1,10,21, 28

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/IB 01/00185

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9722211 A	19-06-1997	AU 704503 B	22-04-1999
		AU 1104097 A	03-07-1997
		AU 704508 B	22-04-1999
		AU 1104297 A	03-07-1997
		AU 704385 B	22-04-1999
		AU 1104697 A	03-07-1997
		AU 704569 B	29-04-1999
		AU 1181397 A	03-07-1997
		CA 2238501 A	19-06-1997
		CA 2239408 A	19-06-1997
		CA 2239493 A	19-06-1997
		CA 2239826 A	19-06-1997
		CN 1208534 A	17-02-1999
		CN 1208535 A	17-02-1999
		CN 1208536 A	17-02-1999
		EP 1059814 A	13-12-2000
		EP 0867091 A	30-09-1998
		EP 0867092 A	30-09-1998
		EP 0867093 A	30-09-1998
		EP 0867094 A	30-09-1998
		WO 9722209 A	19-06-1997
		WO 9722210 A	19-06-1997
		WO 9722212 A	19-06-1997
		JP 2000516406 T	05-12-2000
		JP 2000516407 T	05-12-2000
		JP 2000516408 T	05-12-2000
		NO 982510 A	05-08-1998
		NO 982511 A	05-08-1998
		NO 982512 A	05-08-1998
		NO 982514 A	05-08-1998
		NZ 324340 A	25-11-1998
		US 6246758 B	12-06-2001
		NZ 323992 A	28-10-1998
US 5625681 A	29-04-1997	NONE	

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☒ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**

**THIS PAGE BLANK (USPTO)**